



IP7 Rec'd PCT/PTO 27 JUN 2006

**DLA PIPER RUDNICK GRAY CARY U.S. LLP**

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DOCKET NO.: 9551-022 NATL

**ATTENTION: MAIL STOP PCT**

**THIS IS A RESPONSE TO  
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN  
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ASSISTANT COMMISSIONER FOR PATENTS

PO BOX 1450

ALEXANDRIA, VA 22313-1450

Re: Inventors: HARVEY POLLARD ET AL.  
Serial No: 10/560,590  
Filed: DECEMBER 13, 2005  
For: AMPHIPHILIC PYRIDINIUM COMPOUNDS, METHOD OF  
MAKING AND USE THEREOF

SIR:

Attached hereto for filing are the following papers:

FEE TRANSMITTAL

RETURN COPY OF NOTIFICATION OF MISSING REQUIREMENTS

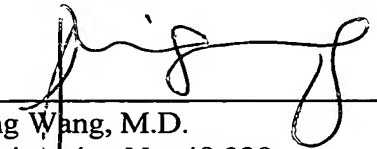
RESPONSE TO NOTICE OF MISSING REQUIREMENTS

DECLARATION (3 PAGES EXECUTED)

Please charge Deposit Account No. 50-1442 in the total amount of \$450.00 covering application examination fees (\$200), late declaration surcharge fee (\$130), and one (1) month extension fee (\$120). In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R.1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R.1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

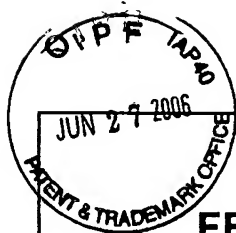
DLA PIPER RUDNICK GRAY CARY U.S. LLP

  
Ping Wang, M.D.  
Registration No. 48,328

07/05/2006 3FREY: 00000103 00200350

01 48,328  
02 48,328

100.00 10  
120.00 02



# **FEE TRANSMITTAL FY 2006**

Docket No.	9551-022 NATL
Serial No.	10/560,590
Filing Date	December 13, 2005
Inventor(s)	Harvey POLLARD et al.
Group Art Unit	
Examiner	

**TOTAL AMOUNT OF PAYMENT** \$450.00

1. ☒ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
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2. ☒ Check enclosed.

### **FEE CALCULATION**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee or oath	130.00

### **1. BASIC FILING FEE**

1053	130	1053	130	Non-English Specification	
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Large Entity		Small Entity		Fee Description		1251	120	2251	60	1-mo. ext. of time	120.00
Code	Fee \$	Code	Fee \$		Fee Paid	1252	450	2252	225	2-mo. ext. of time	
1011	300	2011	150	Utility Filing Fee		1253	1020	2253	510	3-mo. ext. of time	
1012	200	2012	100	Design Filing Fee		1254	1590	2254	795	4-mo. ext. of time	
1013	200	2013	100	Plant Filing Fee		1255	2160	2255	1080	5-mo. ext. of time	
1014	300	2014	150	Reissue Filing Fee		1401	500	2401	250	Notice of Appeal	
1005	200	2005	100	Provisional Filing Fee		1402	500	2402	250	Appeal Brief	
1111	500	2111	250	Utility Search Fees		1403	1000	2403	500	Request for Oral Hearing	
1311	200	2311	100	Utility Examination Fees	200.00	1501	1400	2501	700	Utility/Reissue Issue Fee	
SUBTOTAL					\$200.00	1504	300	1504	300	Publication Fee	

### **2. EXTRA CLAIM FEES**

8001	3	8001	3	Advance Copy of Patent	
1806	180	1806	180	IDS Submission	
8021	40	8021	40	Assignment Recordation	
1801	790	2801	395	For Filing RCE	
1814	130	2814	65	Terminal Disclaimer	
<b>SUBTOTAL</b>				<b>0</b>	

### **3. APPLICATION SIZE FEES**

Other:					
Total # of Sheets		# of Extra Sheets			
-	100	=	0		
# of each additional 50 sheets or fraction thereof (round up)		Fee		Total Fee Due	
x	\$125	=	0	<b>SUBTOTAL</b>	<b>250</b>

Name	Ping Wang, M.D.	Registration No.	48,328
Signature		Date	June 27, 2006
Name		Telephone	202-861-3900
		Registration No.	



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,590	Harvey Pollard	9551-022 NATL

INTERNATIONAL APPLICATION NO.
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PCT/US04/20718

I.A. FILING DATE	PRIORITY DATE
06/28/2004	06/27/2003

Patent Group  
Dla Piper Rudnick Gray Cary  
1200 Nineteenth Street NW  
Washington, DC 20036-2412

CONFIRMATION NO. 6958

371 FORMALITIES LETTER



\*OC00000018388361\*

Date Mailed: 03/27/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/13/2005
- Copy of the International Search Report filed on 12/13/2005
- Preliminary Amendments filed on 12/13/2005
- U.S. Basic National Fees filed on 12/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$330 for a Large Entity:

- \$130 Surcharge.
- The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before

July 1, 2005.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

**PART 2 - OFFICE COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,590	PCT/US04/20718	9551-022 NATL